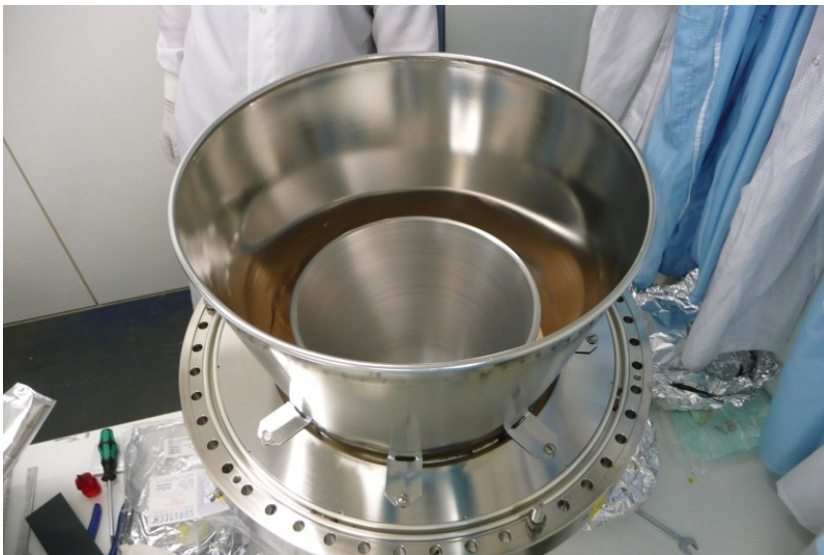


The electrode system for the KATRIN spectrometers

Outline

- Motivation
- KATRIN
- Inner electrode system
- Penning traps are bad...
- How to avoid them

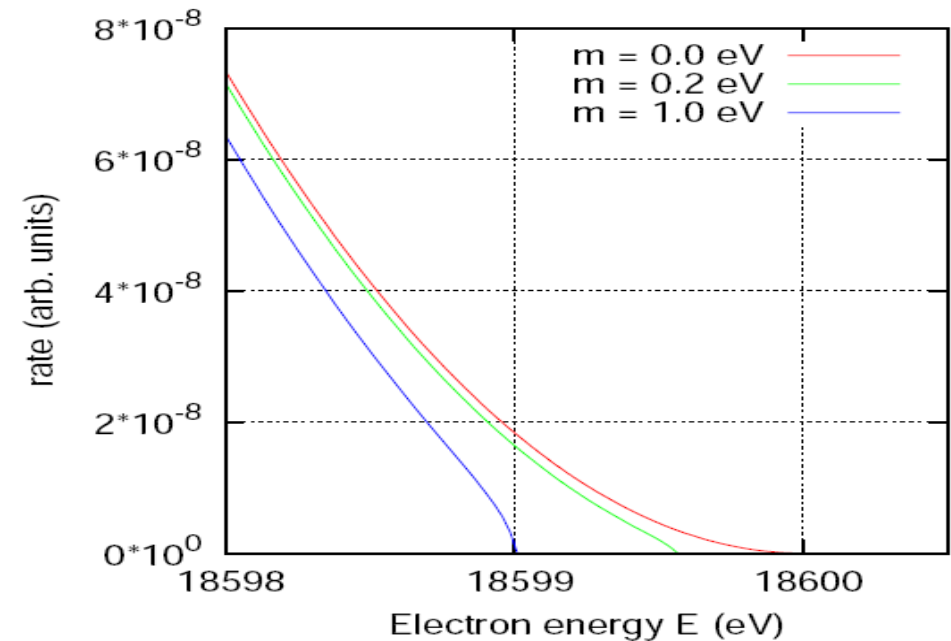
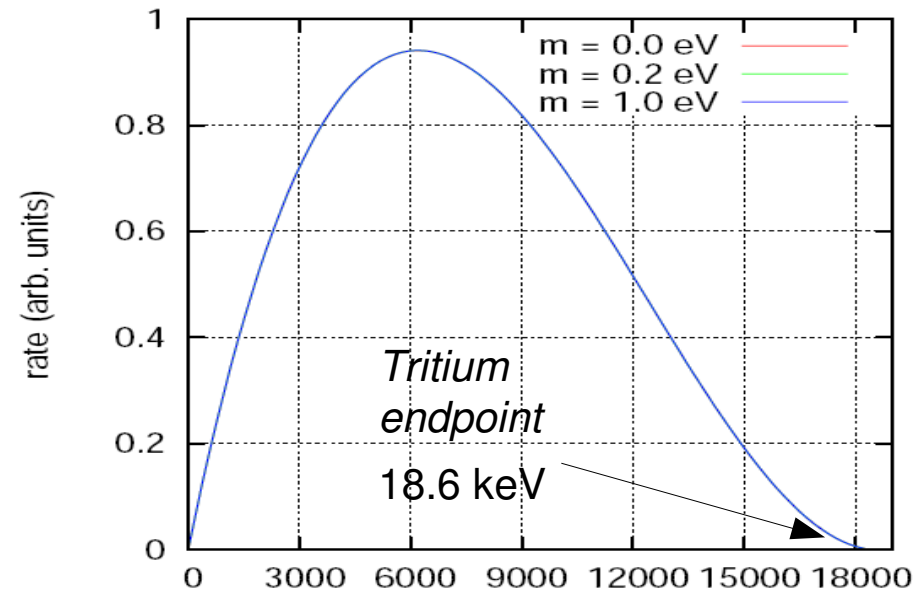


Introduction - Neutrino mass in a nutshell

- 1998: SuperK evidence of neutrino oscillation → **neutrinos have mass!**

$$m_{\nu_e} = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$

- Oscillation: only $\Delta m^2 = (m_i^2 - m_j^2)$
- Absolute mass scale: e.g.
Kinematics of beta decay
(direct, model independent method)
- β -spectrum sensitive to ν mass
- Use spectrometer:
 - High resolution
 - High luminosity
 - Low background rate
 - **MAC-E-filter**
(sharp integrating high pass filter)
- Current upper limit $m < 2.2$ eV (95% C.L.)
Mainz, Troitsk
→ **soon: KATRIN**



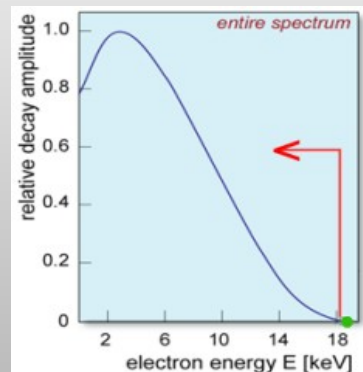
Setup currently
under construction at
KIT (former FZK)

Windowless Gaseous Tritium Source (WGTS)

- FZK tritium lab
- e^- flux towards spectr. 10^{10} e⁻/s

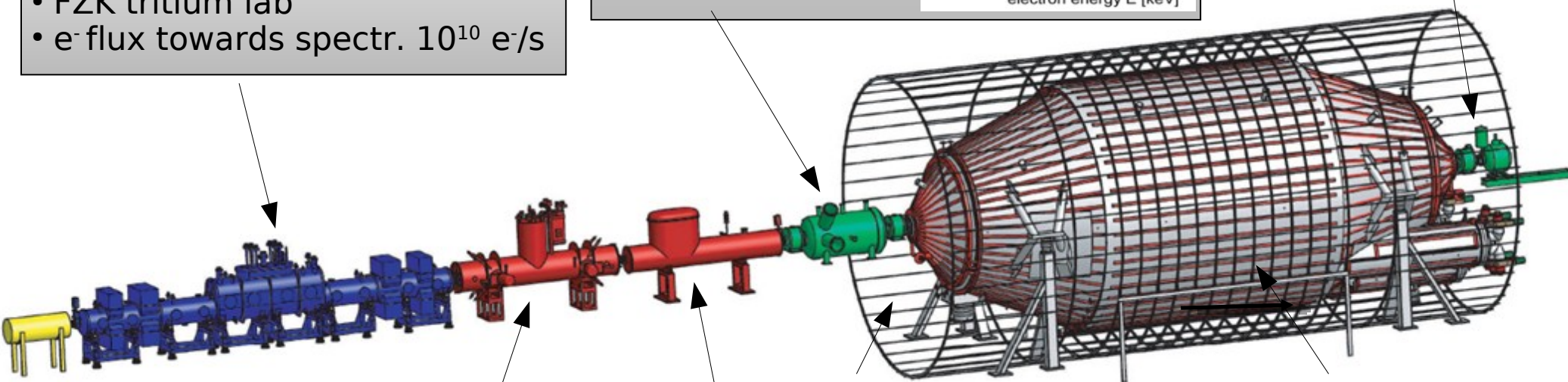
Pre-Spectrometer

- MAC-E type
- cut away
uninteresting
electrons
- reduce flux to
 10^3 e⁻/s
- $p < 10^{-11}$ mbar



Electron detector

- segmented
- resolution ≈ 1 keV



Differential pumping section

- e^- guided along beamline by
strong magnetic fields
- T_2 removed by TMPs in kinks

Air coils

Cryo pumping section

- $T = 4$ K
- argon frost as cryo pump

Main-Spectrometer

- MAC-E type
- scan around endpoint
- 1 eV resolution
- $p < 10^{-11}$ mbar

Setup currently
under construction
at KIT (former
Karlsruhe Institute of Technology)

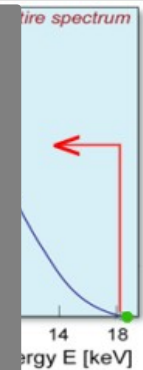
Pre-Spectrometer

KATRIN goal with 3 years worth of data:

- Sensitivity 0.2eV (90% C.L.)
- Improvement by factor 10 to predecessors
- $m(\nu_e) = 0.35 \text{ eV @ } 5\sigma$
- $m(\nu_e) = 0.30 \text{ eV @ } 3\sigma$

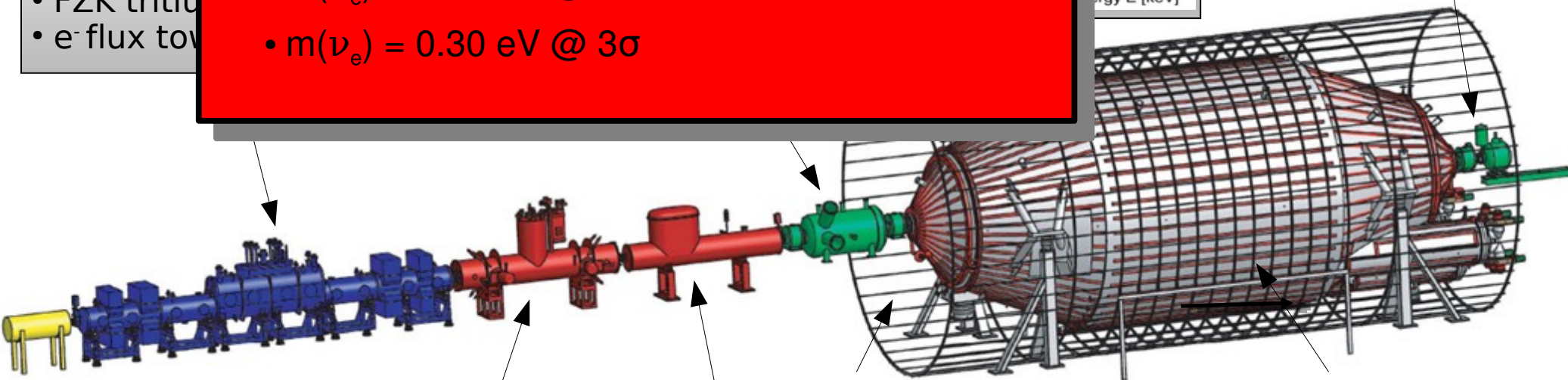
Window Tritium

- FZK tritium source
- e^- flux to 10^{10} s^{-1}



Electron detector

- segmented
- resolution $\approx 1 \text{ keV}$



Differential pumping section

- e^- guided along beamline by strong magnetic fields
- T_2 removed by TMPs in kinks

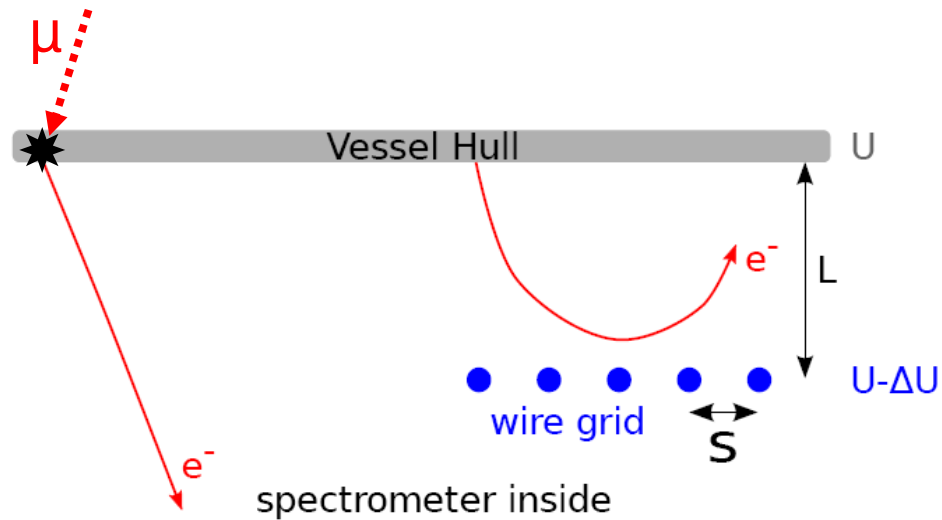
Air coils

Cryo pumping section

- $T = 4\text{K}$
- argon frost as cryo pump

Main-Spectrometer

- MAC-E type
- scan around endpoint
- 1 eV resolution
- $p < 10^{-11} \text{ mbar}$

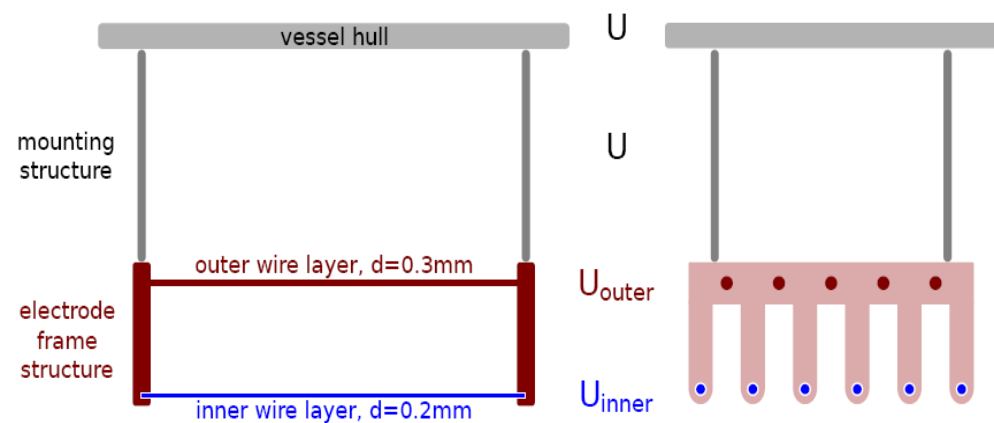


- Low background rate needed: main spectrometer: <math><10\text{mHz}</math>
- Cosmics and radioactive contamination can mimic e⁻ in endpoint energy region
- 650m² surface of main spectrometer
→ ca. 10⁵ μ / s + contamination
- Magnetic shielding by factor 10⁵-10⁶

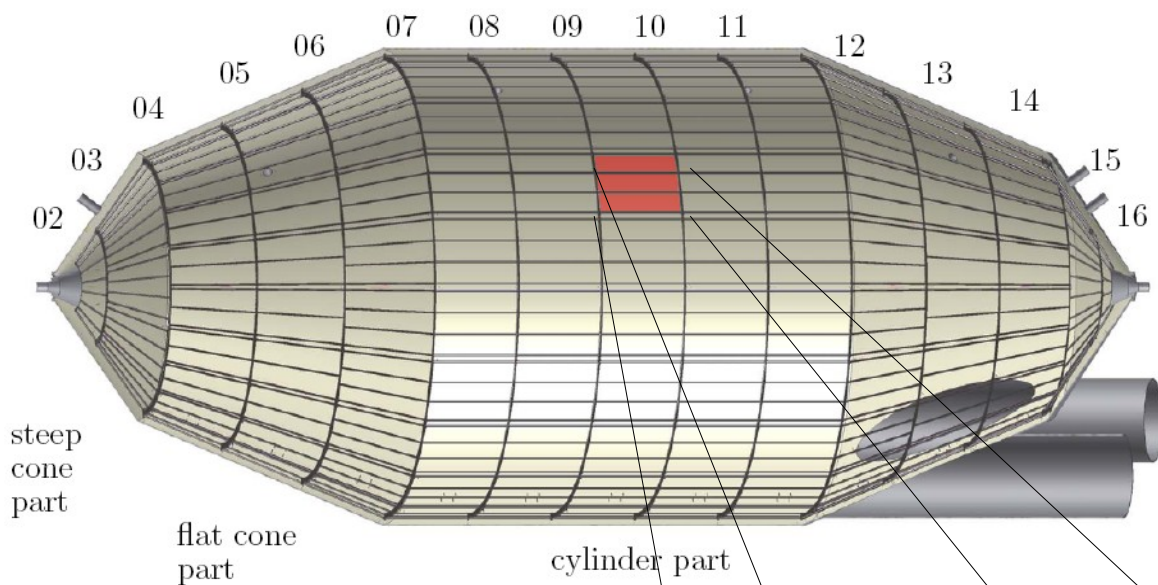
→ **Additional reduction necessary!**

- Screening of background electrons with a wire grid on a negative potential
- Also: inner electrode system to shape electric fields!

- KATRIN: Dual layer electrode for
 - improved screening factor
 - better field homogeneity



Main spectrometer - Inner wire electrode



- **Total: 248 modules**
→ ≈ 23000 wires
- **Production and QA in Münster**
- **Installation of first modules just started**

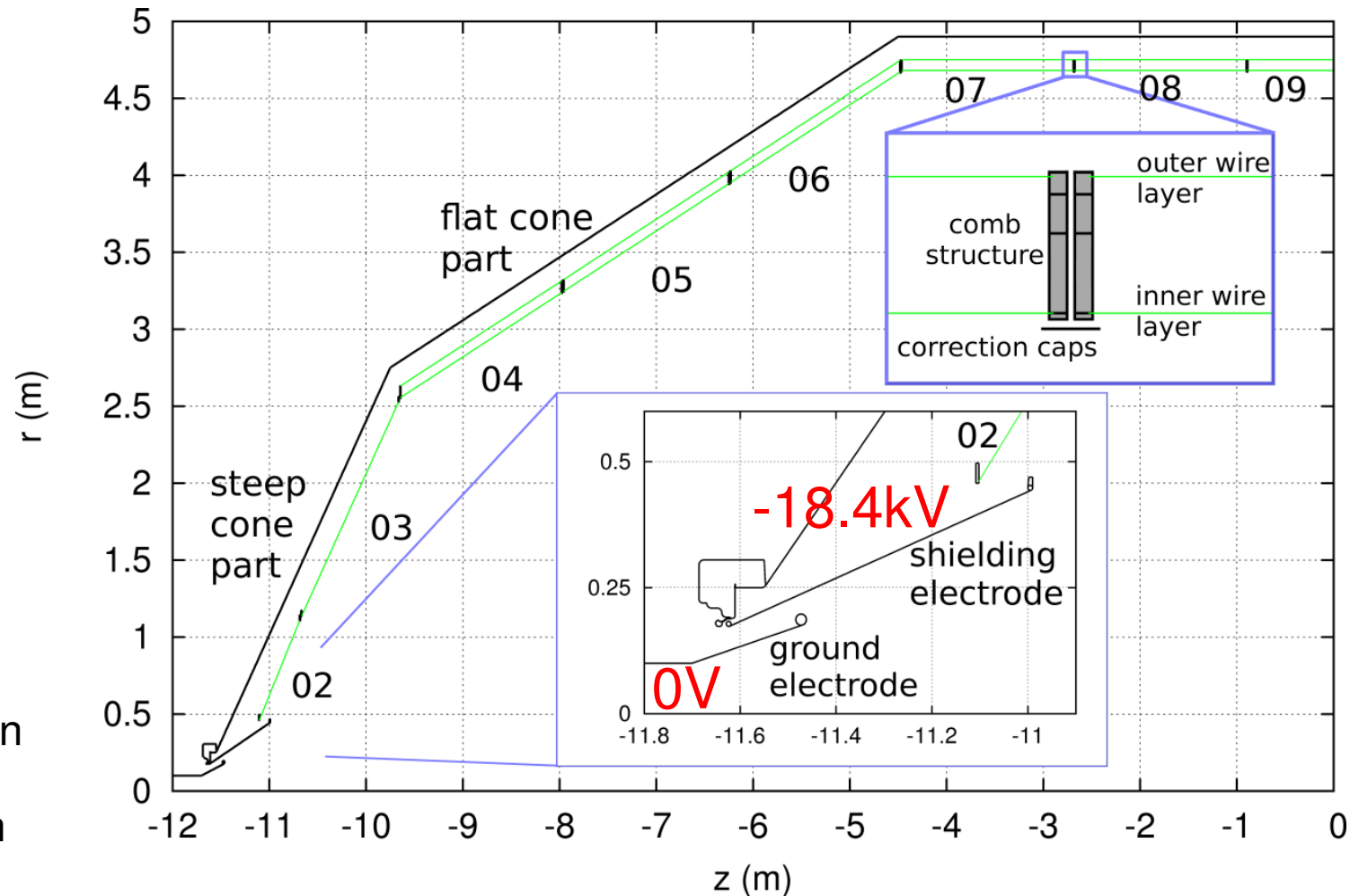
Main spectrometer - Electrode design

- Dual layer electrodes
- Single layer electrodes
- Conical metal electrodes

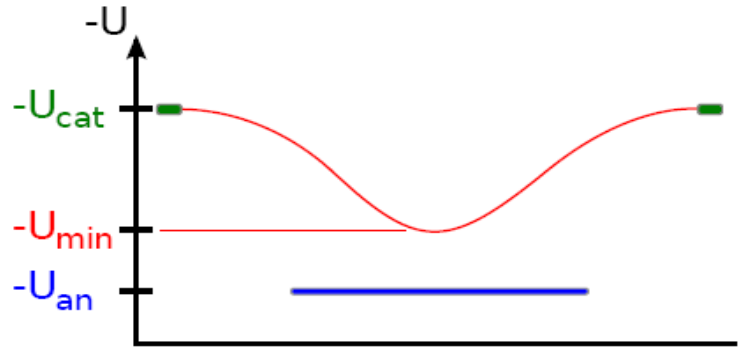
→ But why exactly like this?

Optimized for:

- Transmission properties
- Avoid too early retardation
- Adiabatic transport
- Low electric field strength
- ...
- Avoid particle traps, e.g. **Penning traps**

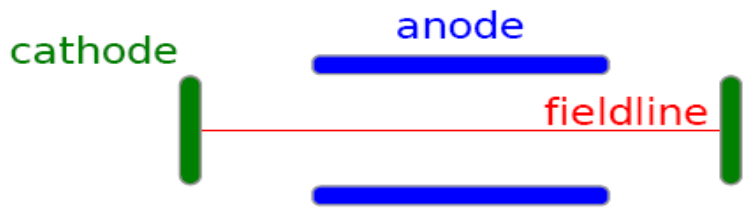


Penning traps - A very short introduction



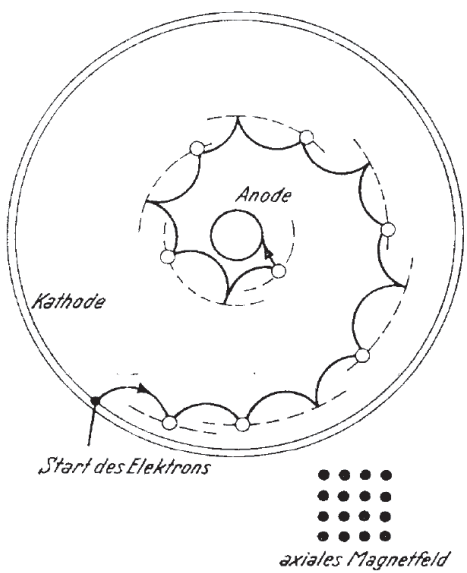
- Potential well in el. field
- electrons can be trapped if they loose enough kinetic energy (collisions...)
- But: to create background one needs more...

... electric field and magnetic guidance!



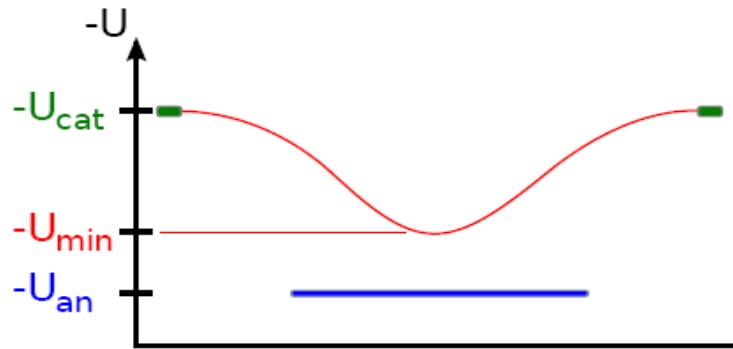
→ Cyclotron motion + magnetron drift + el. field

- Pathlength raises, higher “equivalent pressure”
→ ionisations more probable
→ secondary ions
- Electrons stored, positive ions accelerated to cathode
→ creation of additional electrons
→ again accelerated to trap
→ **self-sustained discharge effect!**



- Trap ignition e.g. by space charge effects (negative charged plasma inside trap)

Penning traps - A very short introduction



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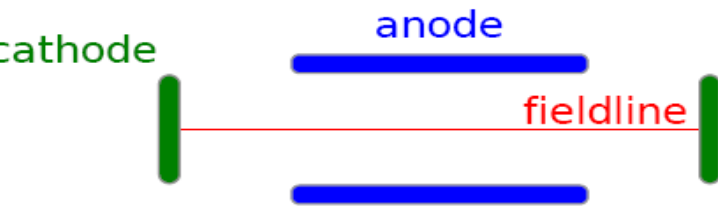
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- Electrons stored, positive ions accelerated to cathode
→ creation of additional electrons
→ again accelerated to trap
→ **self-sustained discharge effect!**

- Trap ignition e.g. by space charge effects (negative charged plasma inside trap)



Solution to supress trap:

- ionisation only possible for
 $U_{\text{trap}} > E_{\text{ion}}$
- UHV: H_2 most prominent
- $E_{\text{ion}}(\text{H}_2) \approx 15.4\text{eV}$
- Avoid trap locations!
- Keep traps shallow enough!
→ accurate simulations needed!

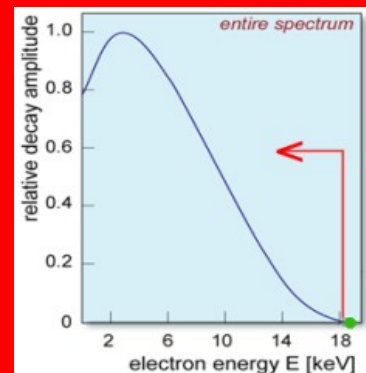
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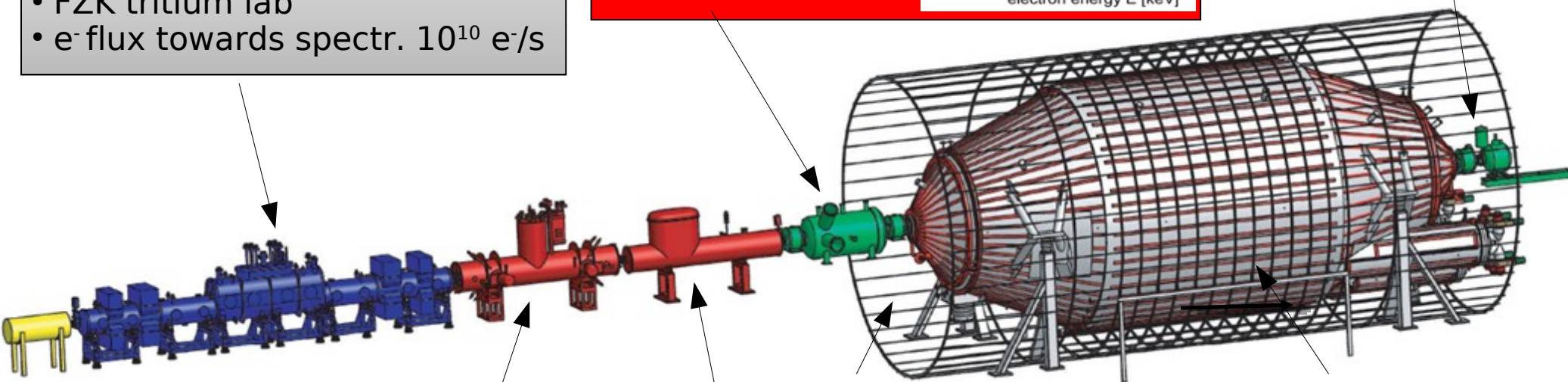
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Air coils

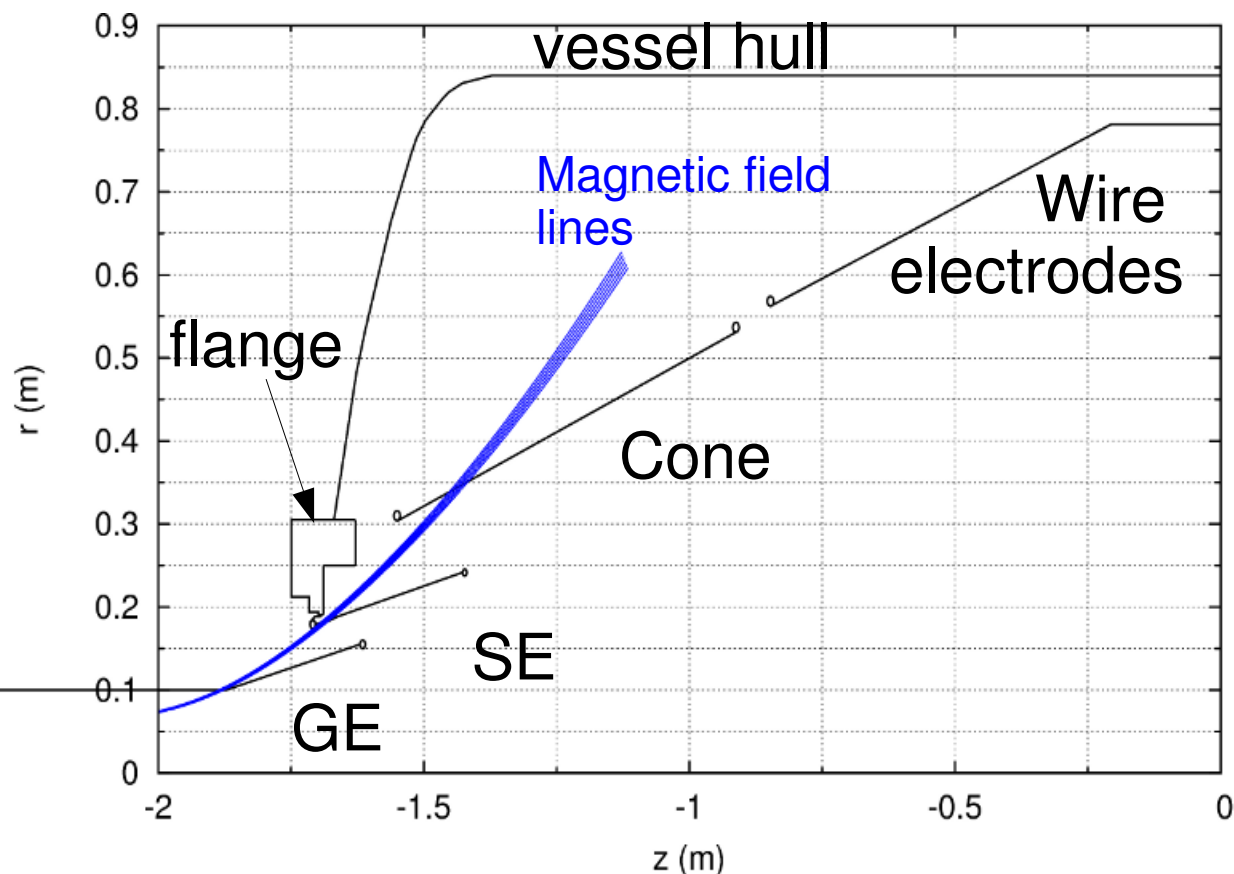
Cryo pumping section

- $T = 4$ K
- argon frost as cryo pump

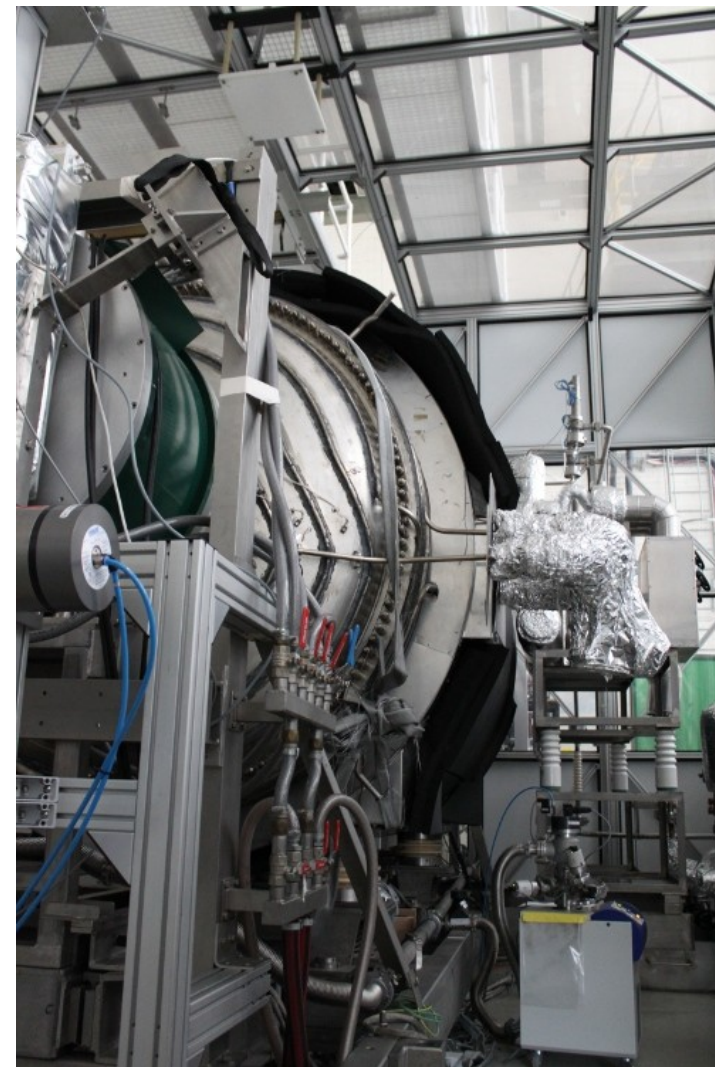
Main-Spectrometer

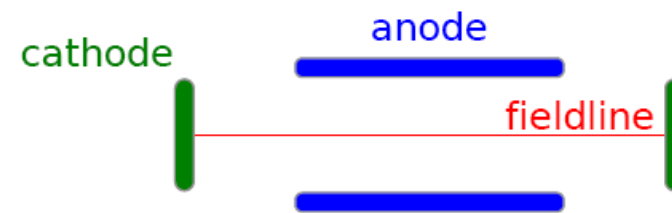
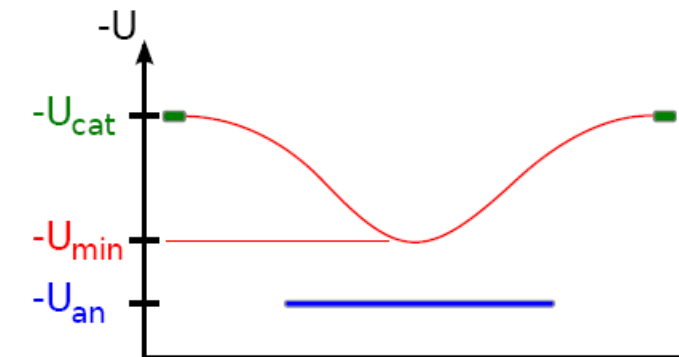
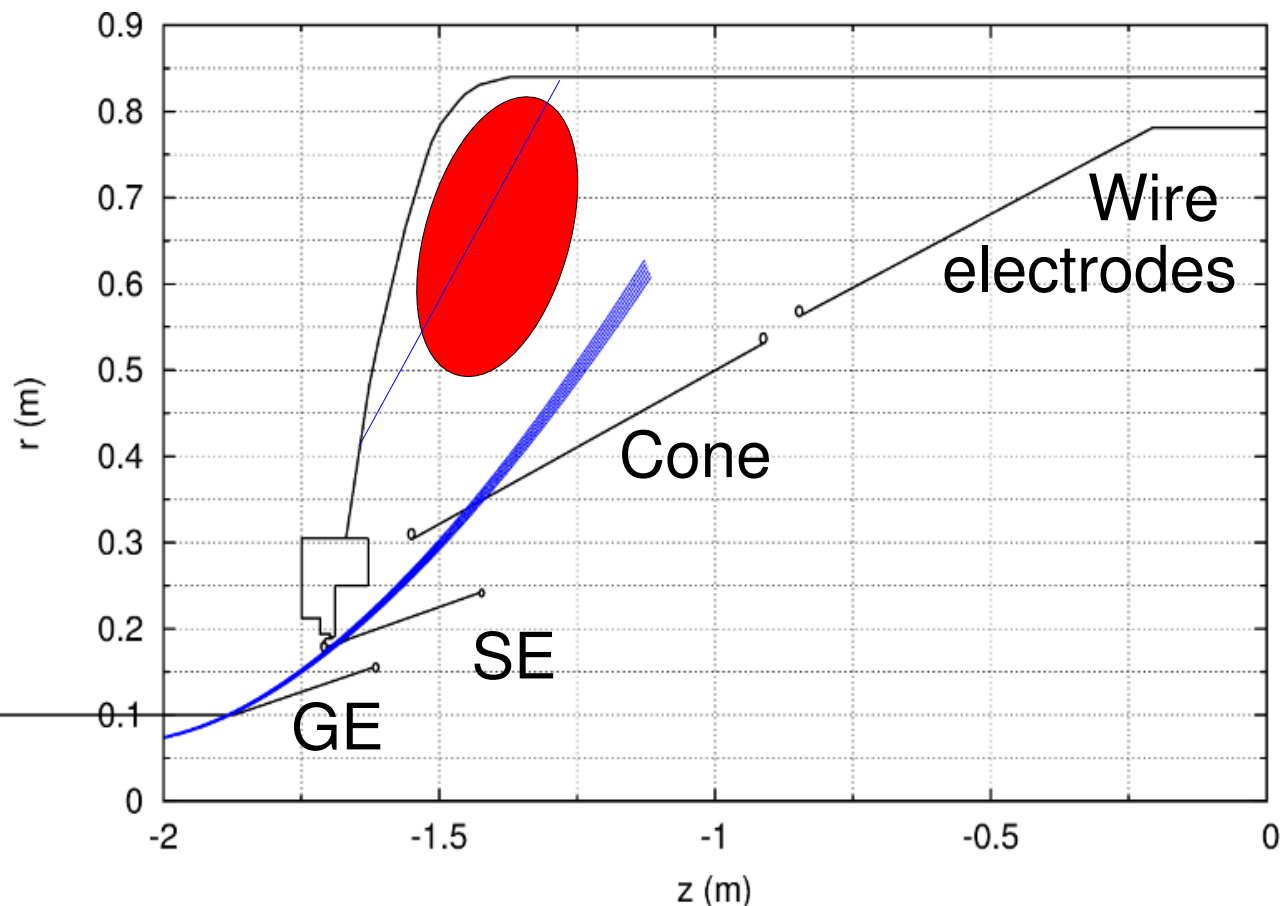
- MAC-E type
- scan around endpoint
- 1 eV resolution
- $p < 10^{-11}$ mbar

Prespectrometer electrode system - Overview



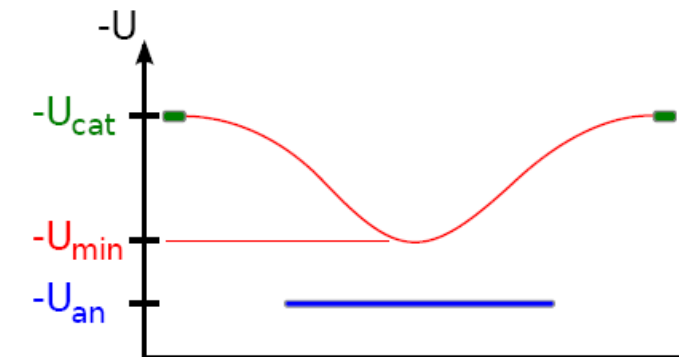
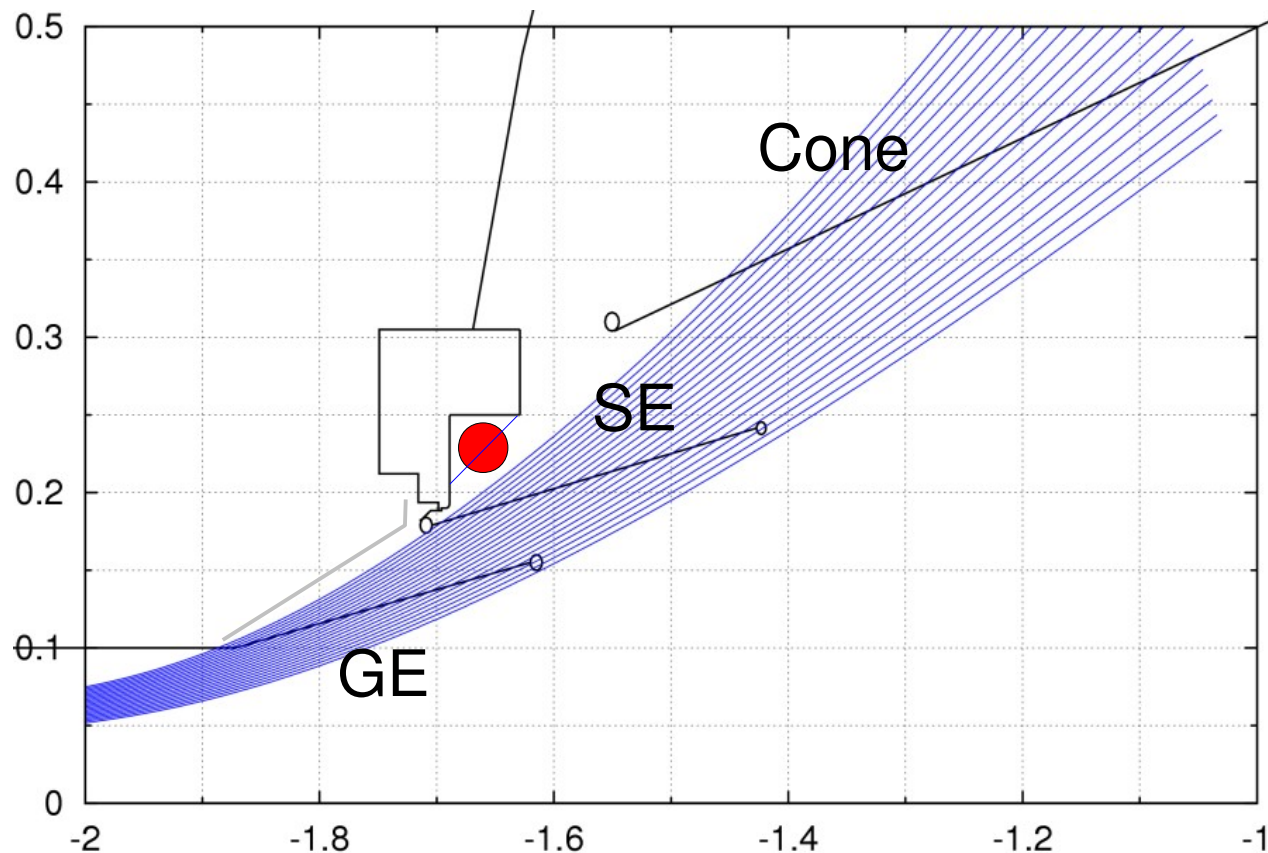
- Pre spectrometer two purposes:
 - Test setup for main spectrometer
 - Filter in final beamline
- Symmetric setup → look at 1/2 of spectrometer
- Length: 3.378m, Diameter 1.7m
- Pressure: down to $p \approx 10^{-10}$ mbar
- Electrode system? → Simulations!





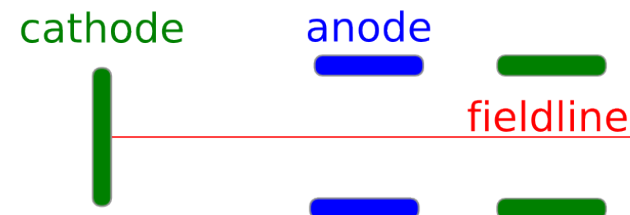
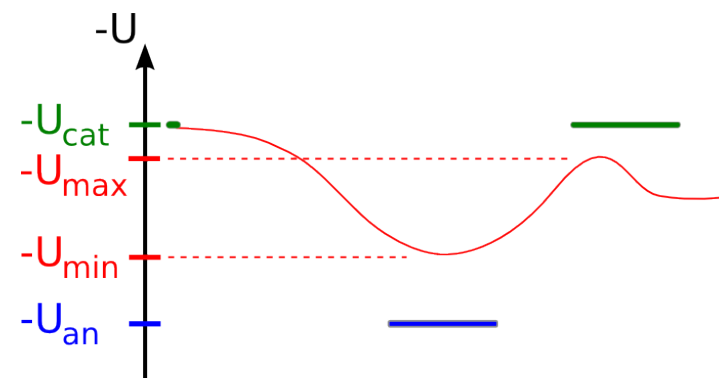
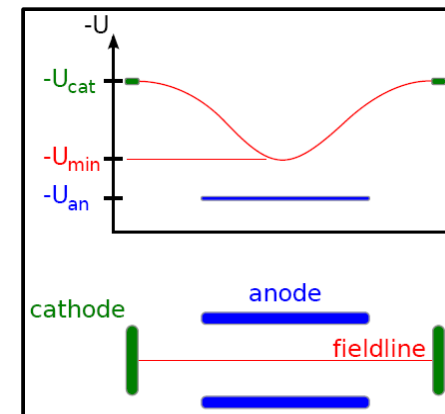
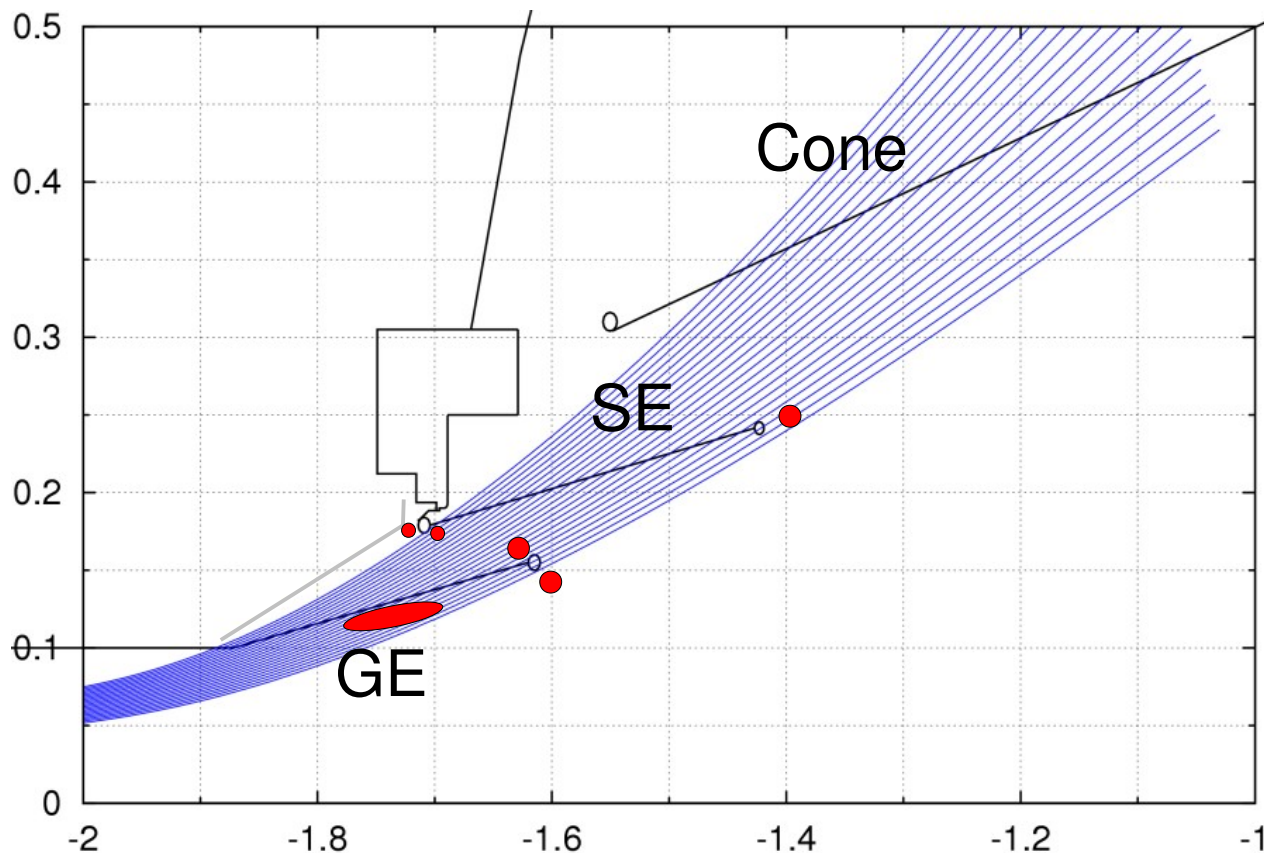
(a) Cathode-to-cathode trap

- Important for traps: magnetic fieldlines and electric fields
- Avoid Penning traps! → Simulations → Geometry
- Cone electrodes to cover spatially huge ($\sim m^3$) Penning traps
- But: Spectrometer not operable!
→ maybe smaller traps are responsible?!

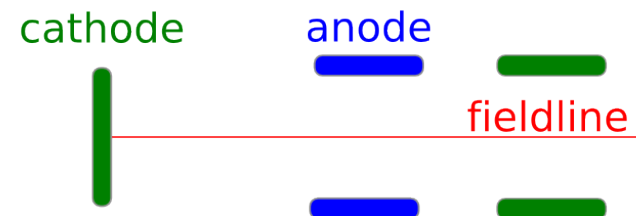
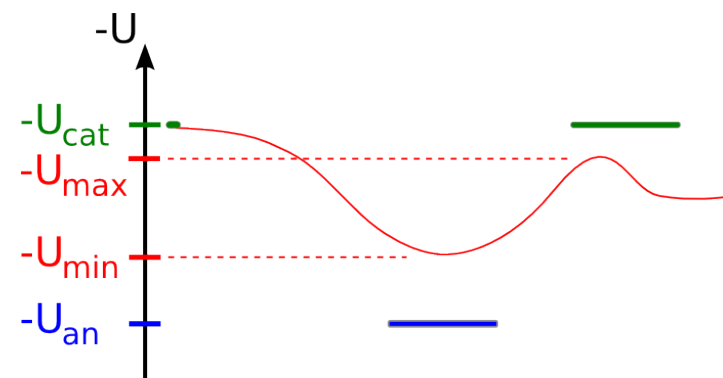
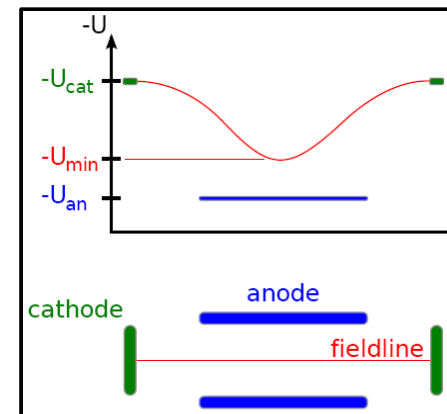
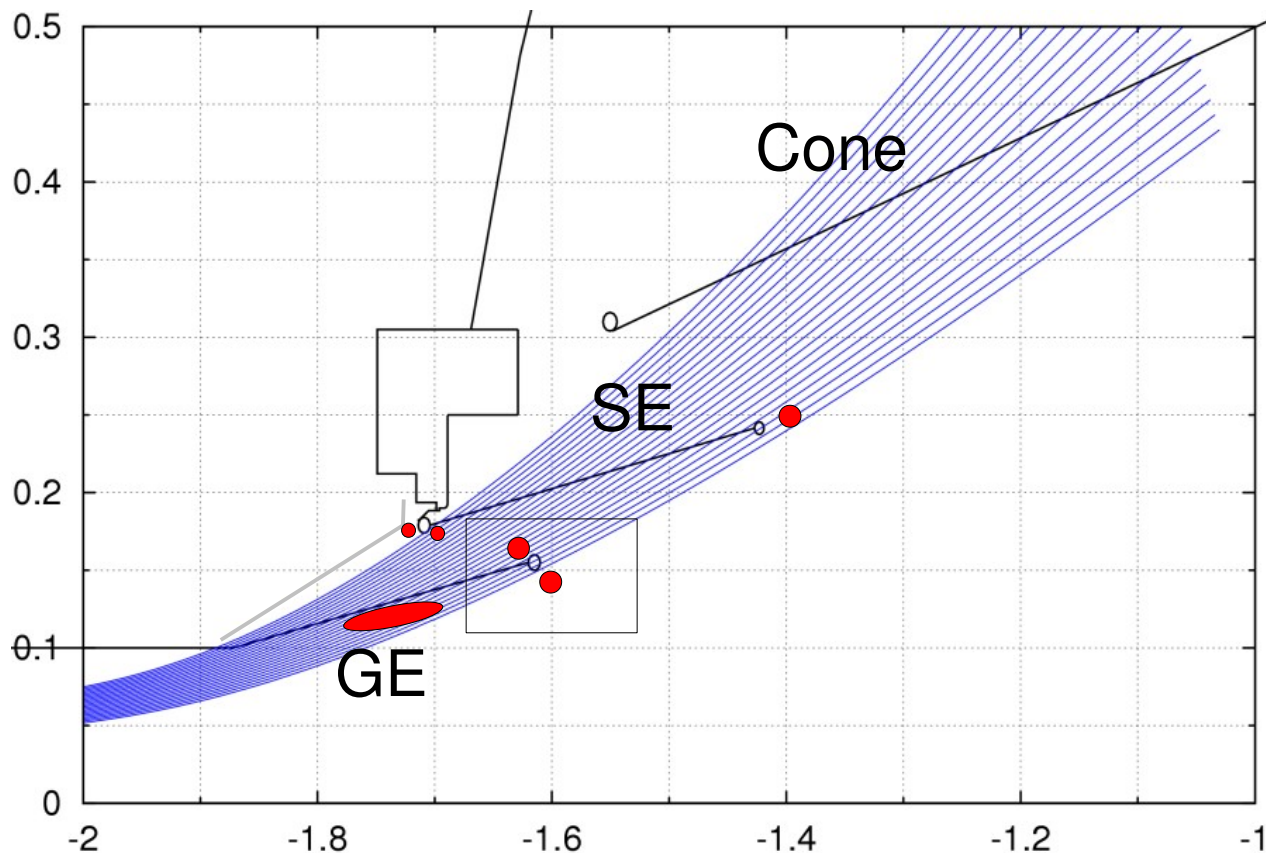


(a) Cathode-to-cathode trap

- Improvements in simulations...
- Smaller Penning traps exist ($\sim \text{dm}^3$)
- Introduction of Shielding electrode (SE)
- Now: Measurements possible!
- Increased background rates at high B fields
- Even smaller Penning traps as possible source?



- Experimental evidence points to entrance/exit region as background source
- Precise simulations
- Search for small traps
- Also look at cathode-to-vacuum traps...

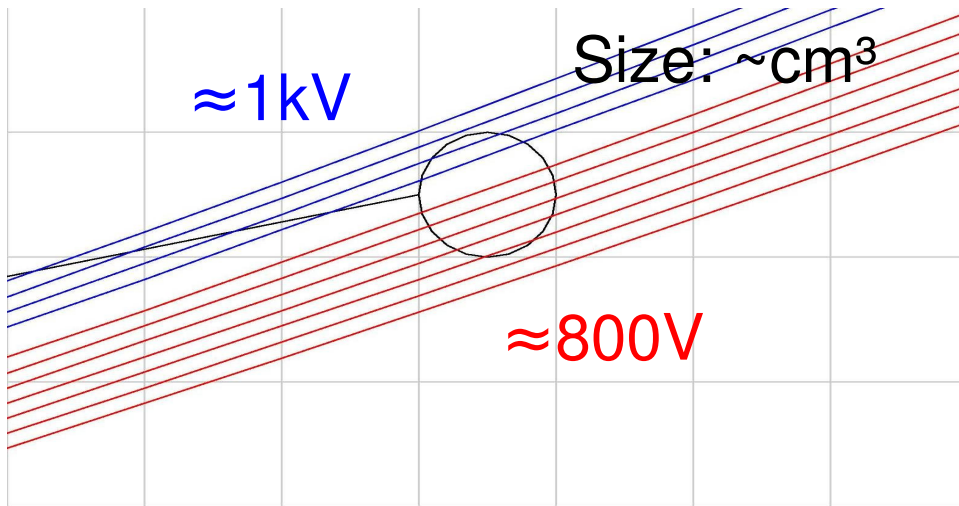


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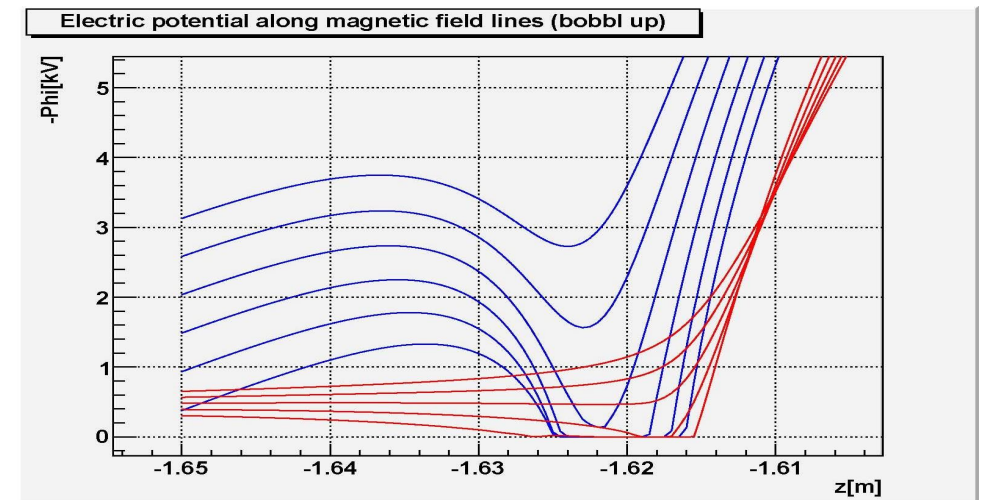
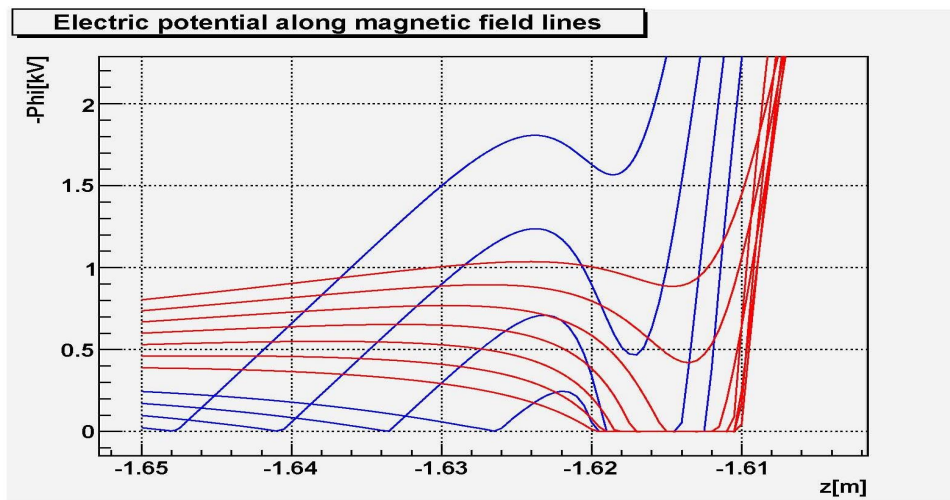
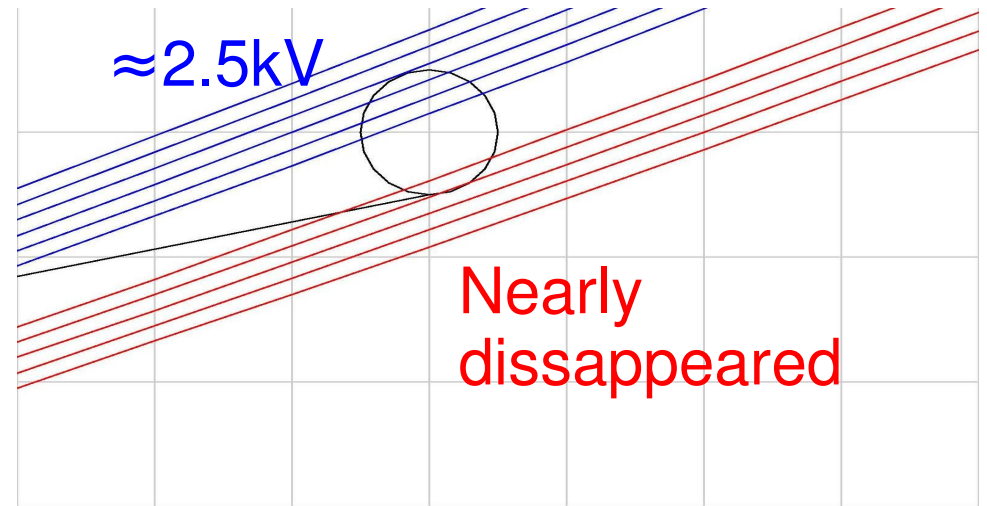
PS electrode system

Ground electrode end traps

Old setup (Mk 2)

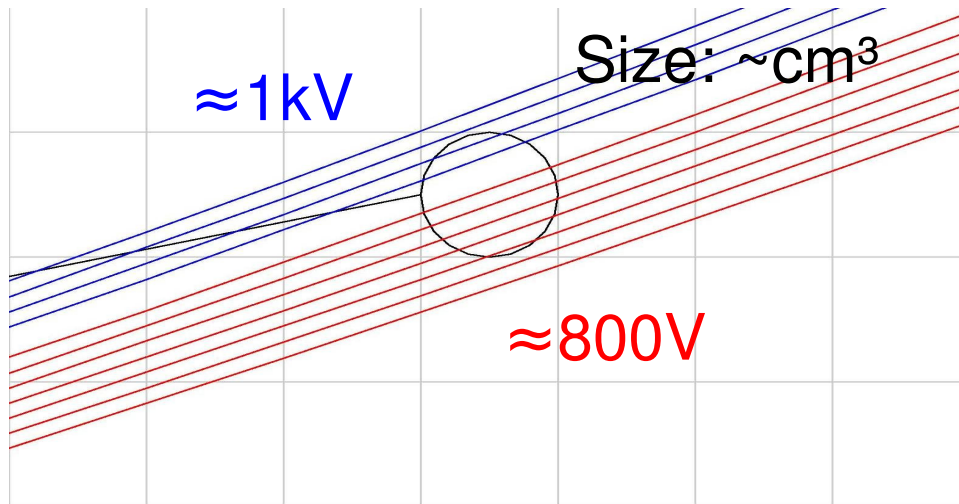


Modified setup (Mk 3)
+ wire comb at inner side of GE

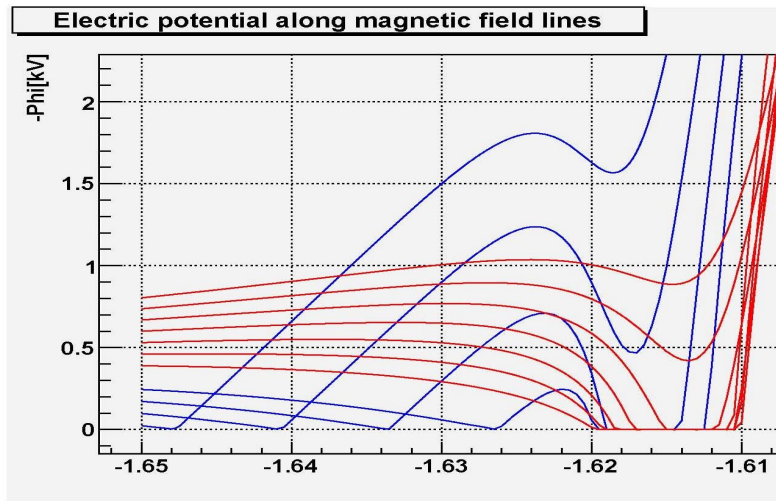
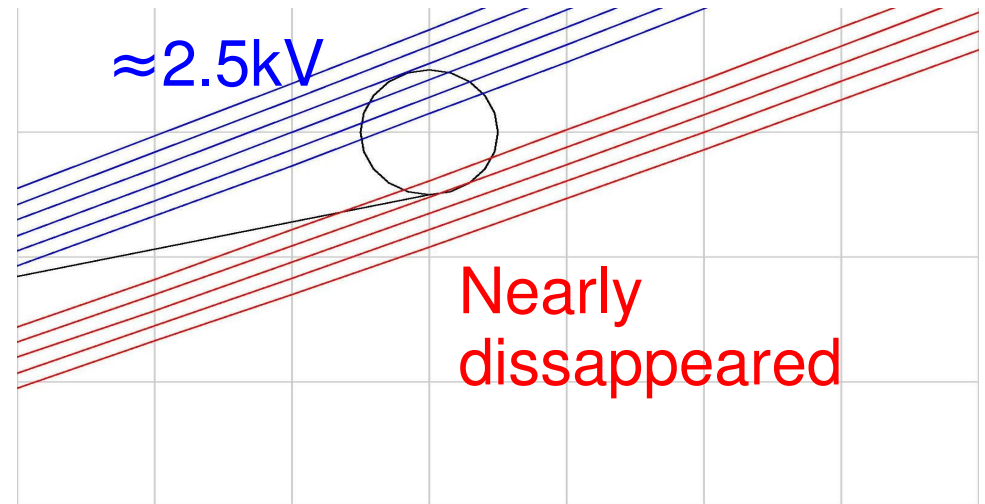


Plots from Susanne Mertens / Ferenc Glück

Old setup (Mk 2)



Modified setup (Mk 3)
+ wire comb at inner side of GE



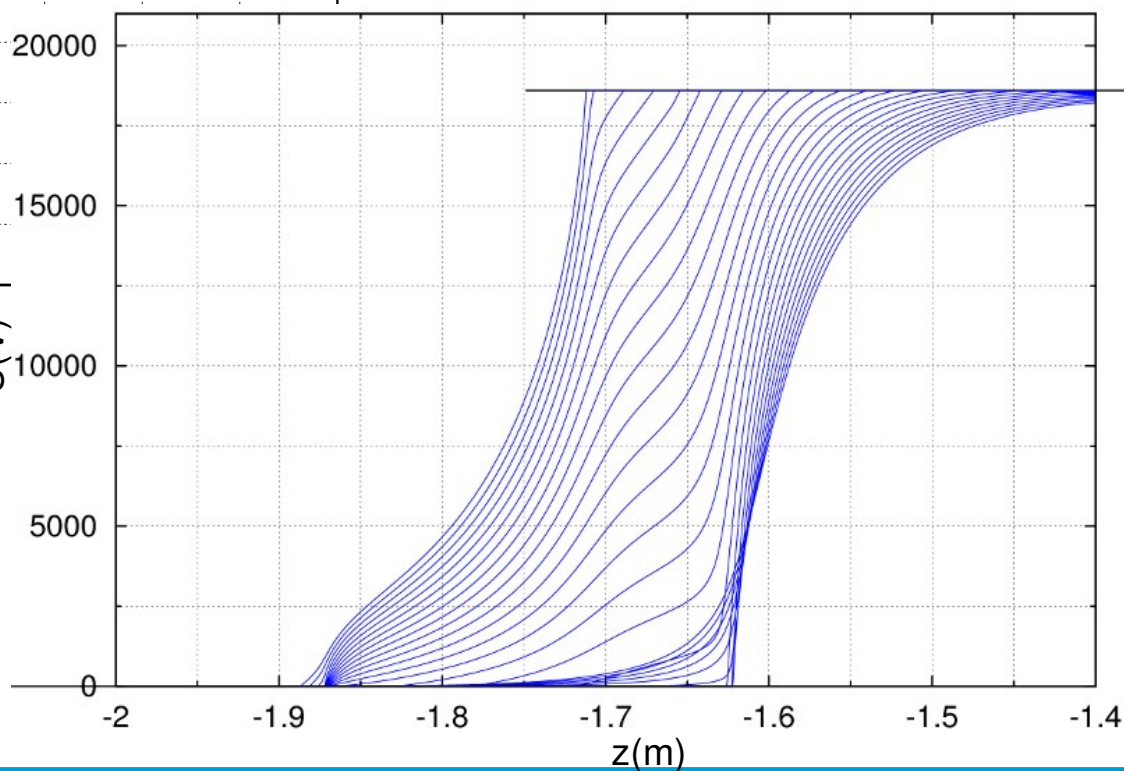
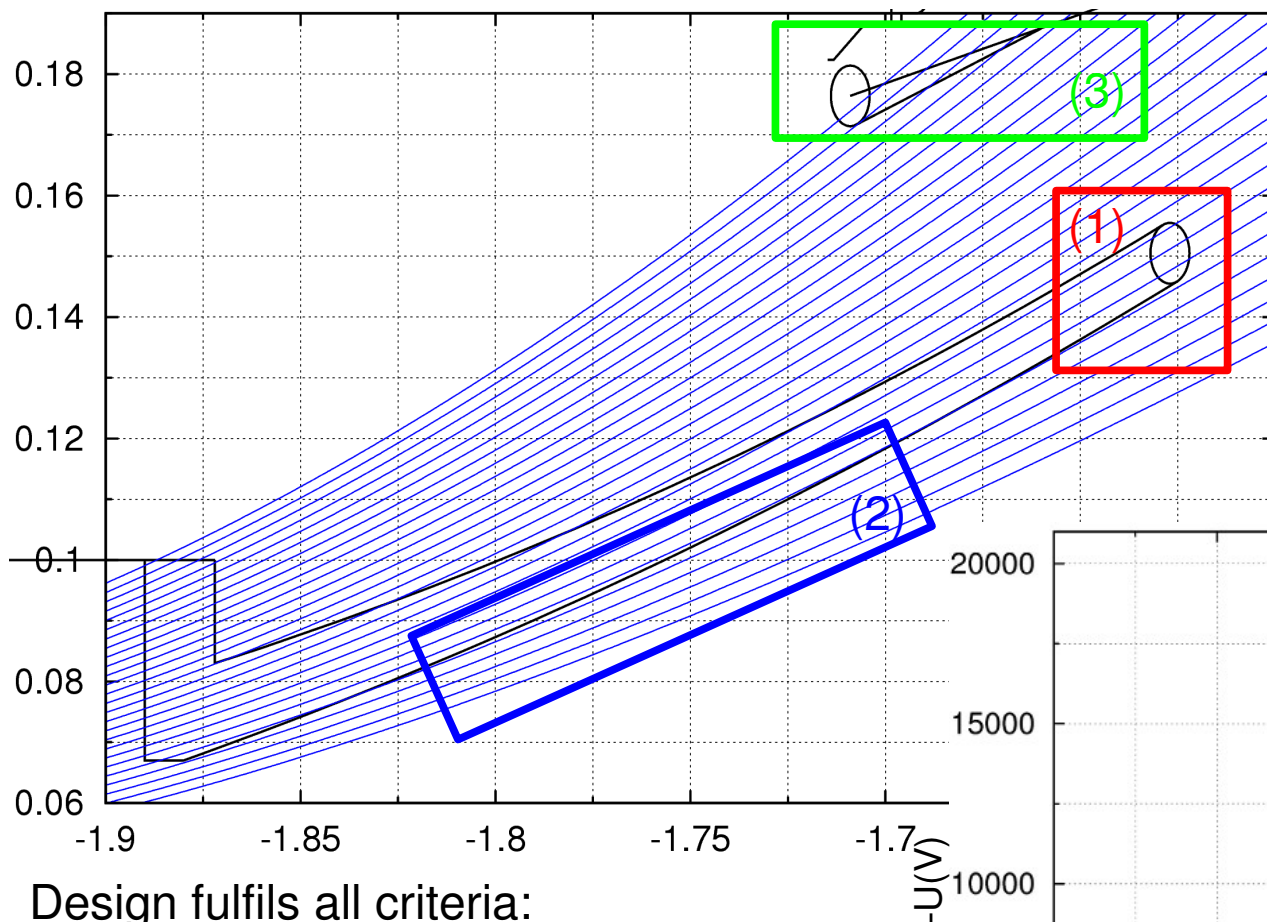
Plots from S

BACKGROUND INCREASED!

- Evidence that the top PT causes the bulk of the background
- Other effects might be covered from this huge background
- Find new electrode form to solve (nearly) all known problems!

PS electrode system

New ground electrode (Mk 4)

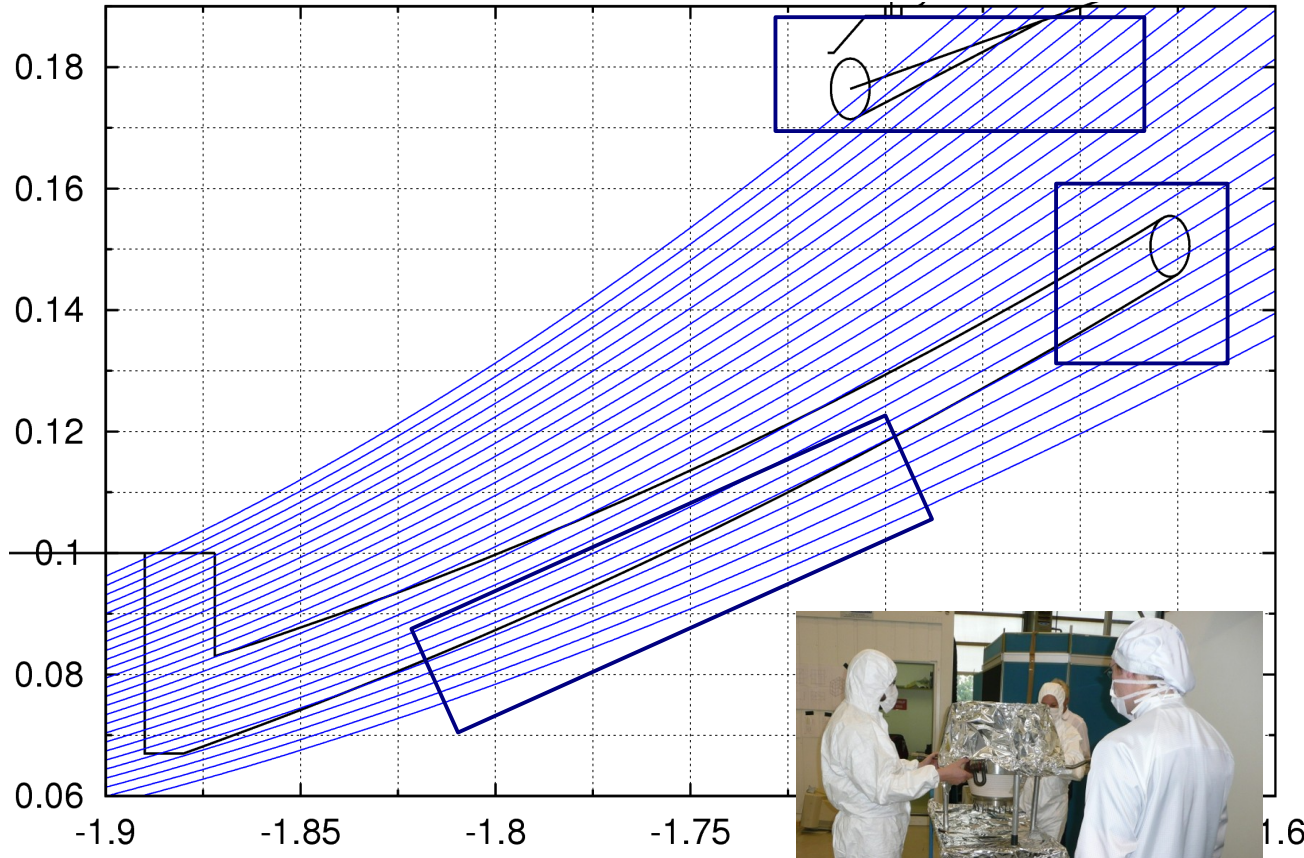


Design fulfils all criteria:

- Avoid GE end traps (1)
(by shape of electrode end)
- Avoid ion traps (2)
(by shape of inner side)
- Avoid „Hugenberg trap“ (3)
(by conical sheet)

PS electrode system

New ground electrode (Mk 4)

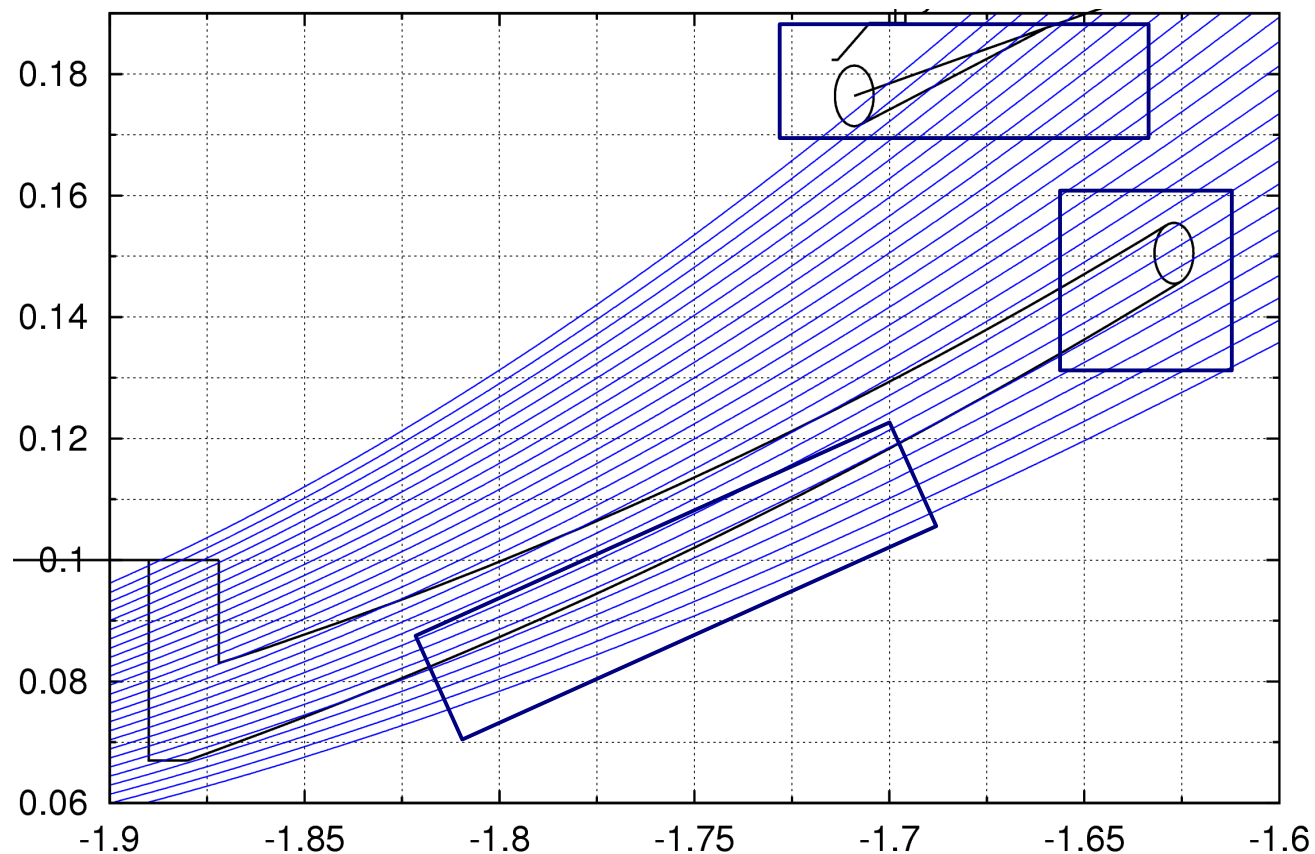


- Massive aluminium electrode
 - Drilled from a block
 - Bake out temperature 200°C
 - Other materials needed for final beamline setup
 - Fast and precise solution
- Installed as Mk 4 setup in summer 2009

PS electrode system New ground electrode (Mk3)



Happy
Parrot

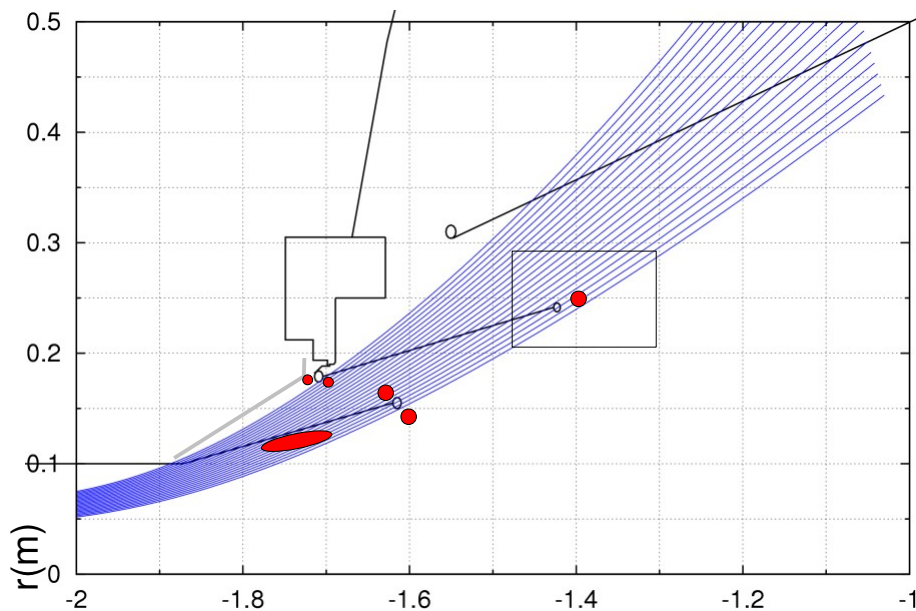


- High-B-Field background disappeared!
- Trap at GE endbulge identified as main source!

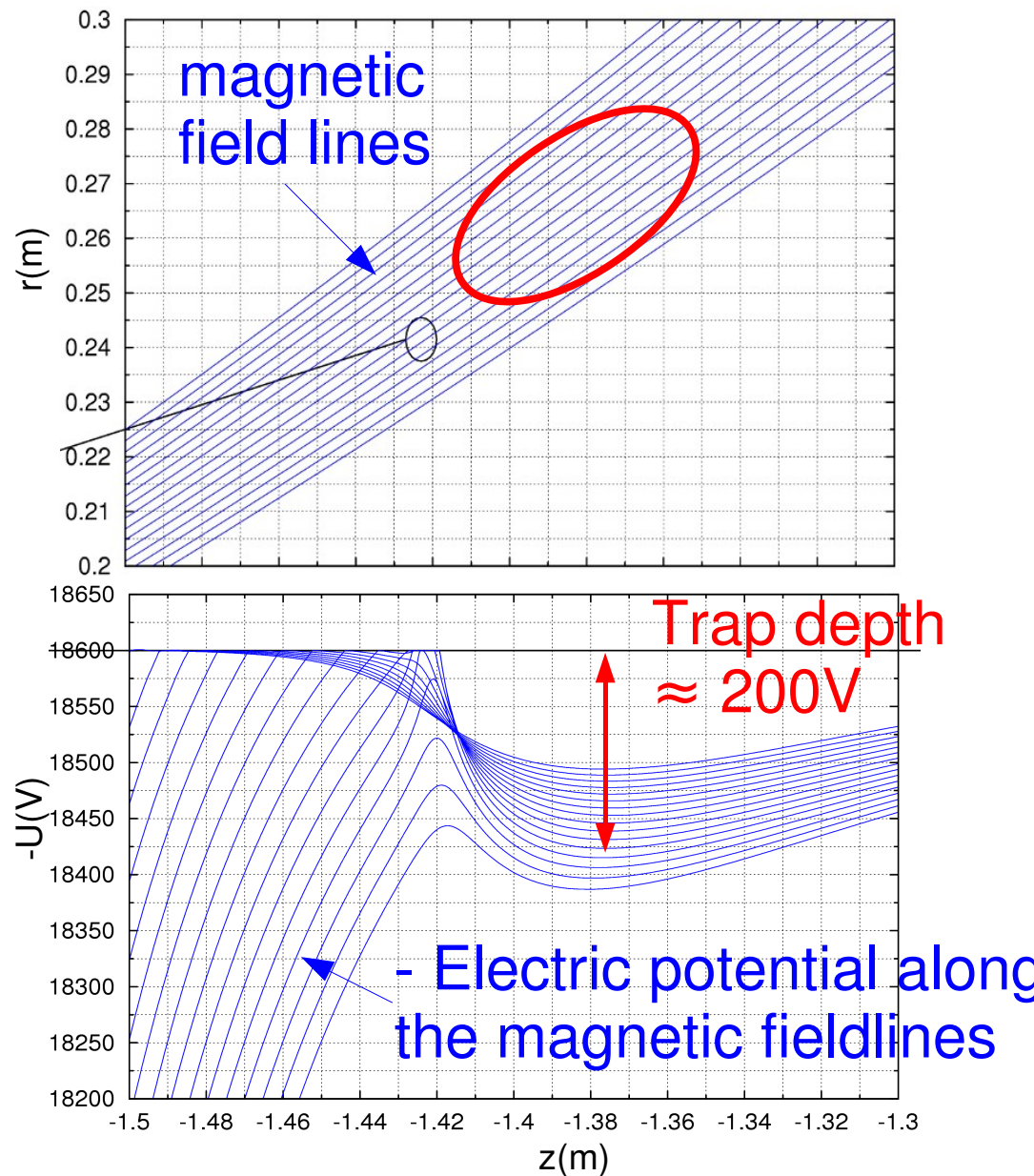
→ Next task: Apply this to main spectrometer electrode system!

PS electrode system

Trap at outer end of SE



- Caused by „positive“ GE potential
 - Can be solved by longer SE as at main spectrometer, but: mechanically complicated (radius > flange)
 - Easier: tune cone potential to $\sim 400V$ more negative
- good way to test trap influence



- KATRIN will provide highly sensitive, direct measurement of electron neutrino mass
- Electrode system needs to be carefully designed to avoid particle traps and assure good electromagnetic properties (transmission, electric field strength, ...)
- Pre spectrometer as test setup for electrode system
- Even small Penning traps can cause serious background problems
- Pre spectrometer works...

- ... interesting measurements to come!
- Apply pre spectrometer solutions for main spectrometer

